



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Perdon et al.

**Serial No.:** 09/928,493

**Filed:** August 13, 2001

**Entitled:** Predicting the Activities of an Individual  
or Group Using Minimal Information

**Attorney Docket No.:** 109635.144

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Examiner:** TBA

**Group Art Unit:** 2152

**RECEIVED**  
**JAN 13 2003**  
**Technology Center 2100**

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: January 7, 2003

Patricia Corrigan  
Patricia Corrigan

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the following documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publication be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not constitute a representation by Applicant that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." Applicant reserves the right to take appropriate



U.S. Serial No. 09/928,493  
Attorney Docket No. 109635.144  
Filed: August 13, 2001

action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Copies of the documents listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 with the next Patent Office communication.

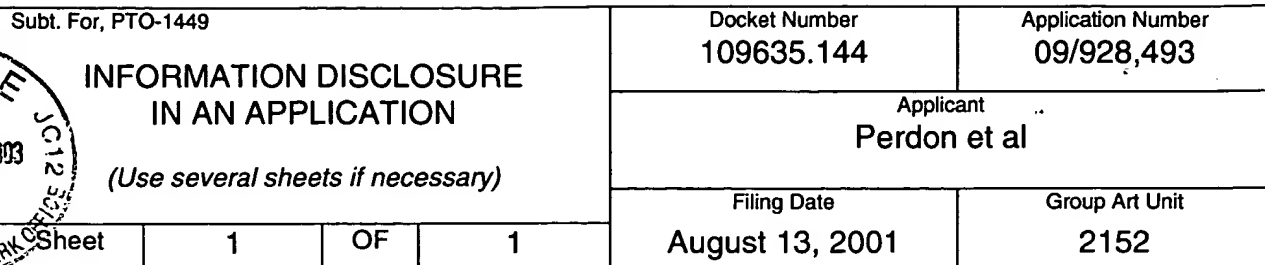
No fee is deemed necessary in connection with this filing. However, if a fee is due or a credit owed, the Commissioner is authorized to charge any fee required to maintain the pendency of the application or to credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 1-7-03

Rajesh Vallabh  
Attorney for Applicant  
Reg. No. 35,761

Hale and Dorr LLP  
60 State Street  
Boston, MA 02109  
Tel: (617) 526-6000  
Fax: (617) 526-5000

[illegible]

RECEIVED  
JAN 13 2008  
Technology Center 2100

[illegible]

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
		Lin et al., U.S. Patent Application Publication No. 2001/0034637, Oct. 25, 2001
		Mushlin et al., U.S. Patent Application Publication No. 2002/0188717, Dec. 12, 2002
		Busche, U.S. Patent Application Publication No. 2002/0188507, Dec. 12, 2002

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/928,493
	Filing Date	August 13, 2001
	First Named Inventor	Perdon
	Group Art Unit	2152
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	109635.144

RECEIVED

JAN 13 2003

Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. Form 1449 2. Six (6) References as Cited.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Rajesh Vallabh, Reg. No. 35,761, Hale and Dorr LLP
Signature	<i>Rajesh Vallabh</i>
Date	1-7-03

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1/7/03

Typed or printed name	Patricia Corrigan
Signature	<i>Patricia Corrigan</i>
Date	January 7, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.